

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Rhod J. Jones, et al

Serial No. 09/939,117

Filed: August 24, 2001

For: Service processor and system
and method using a service
processor

Group Art Unit: 2151 #7
Examiner: Unknown

Atty. Dkt. No. 5681-03200

I hereby certify that this correspondence is being deposited
with the U.S. Postal Service as First Class Mail in an envelope
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Alexandria, VA 22313-1450, on the date indicated below:

10-8-03

Date

B. Noel Kivlin

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Technology Center 2100

Sir:

Applicant requests consideration of ☒ the references listed on the attached Form PTO-1449
and/or ☐ the additional information identified below in paragraph 3. A copy of each reference listed on
the Form PTO-1449 is enclosed.

1. This Information Disclosure Statement is submitted:

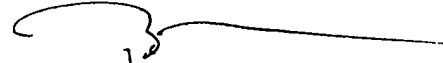
- a. ☐ within 3 months of the filing date of a national application other than a continued
prosecution application under § 1.53(d);
☐ within 3 months of the date of entry of the national stage as set forth in § 1.491 in
an International application;
☒ before the mailing date of a first Office Action on the merits; or
☐ before the mailing of a first Office Action after the filing of a request for
continued examination under § 1.114.
- b. ☐ after the events of above paragraph 1a and prior to the mailing date of a final
Office Action or Notice of Allowance, and thus: ☐ the certification of
paragraph 2 below is provided, or ☐ a fee of \$180.00 is enclosed.

- c. ☐ after the mailing date of a final Office Action or a Notice of Allowance and prior to payment of the issue fee, and thus: the certification of paragraph 2 below is provided and a fee of \$180.00 is enclosed.
2. It is hereby certified:
- ☐ that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
- ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in § 1.56 (c) more than three months prior to the filing of the Statement.
3. ☐ Consideration of the following additional information (including any co-pending or abandoned U.S. applications, prior uses and/or sales, etc.) is requested:
4. For each non-English language reference listed on the attached Form PTO-1449:
- ☐ reference is made to an English language translation submitted herewith, and/or
- ☐ reference is made to a foreign patent office search report (in the English language) submitted herewith, and/or
- ☐ reference is made to an English language translation of a foreign patent office search report submitted herewith, and/or
- ☐ reference is made to the concise explanation contained in the specification of the present application at page(s) _____, and/or
- ☐ reference is made to the concise explanation set forth below:
5. ☐ Applicant also offers the following comments for the Examiner's consideration:
6. ☐ Also enclosed is a copy of a foreign search report citing these references.
7. ☐ The listed documents were brought to the attention of the Applicant(s) after payment of the issue fee in the captioned case. The documents were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. Applicant(s) request this Information Disclosure Statement and attached Form PTO-1449 be placed in the file of the captioned application.

8. ☐ Applicant(s) requests that the Information Disclosure Statement and attached Form PTO-1449 and references, which are being filed before the grant of the patent and pursuant to 37 C.F.R. § 1.97(i), be placed in the file of the captioned application.

If any required fees are missing, the Commissioner is authorized to charge said fees to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. Deposit Account No. 50-1505/5681-03200.

Respectfully submitted,



B. Noël Kivlin
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Attorney for Applicant(s)

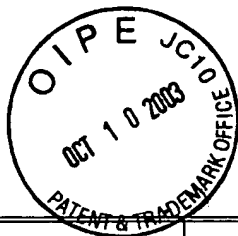
MEYERTONS, HOOD, KIVLIN, KOWERT & GOETZEL, P.C.

P. O. Box 398

Austin, Texas 78767

(512) 853-8800

Date: 10-8-82

**Form PTO-1449** (modified)List of Patents and Publications
For Applicant's Information
Disclosure Statement
(Use several sheets if necessary)ATTY. DOCKET NO: 5681-03200
CLIENT REF. NO.: P5732APPLICANTS:
Jones et al.

SERIAL NO: 09/939,117

Filing Date: 08/24/2001
Group Art Unit: 2151**U.S. PATENT DOCUMENTS**

Exam. Initials	Ref. Des	Document Number	Date	Name	Class	Sub Class	Filing date if appropriate
	C1	5,784,617	07/21/1998	Greenstein et al.			
	C2	5,758,157	05/26/1998	Greenstein et al.			

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FOREIGN PATENT DOCUMENTS

EXAM. INITIALS	REF. DES	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER:

DATE CONSIDERED:

(Prior Examiner: Joseph E. Palys, phone number 703-305-9685)

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.